



**Express Mail No.:** EV 887843826 US  
**Date Deposited:** August 29, 2007

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Application No. :** 10/720,247 **Confirmation No.:** 4366  
**Applicant(s) :** Daniel E. Resasco  
**Filed :** 11/24/2003  
**TC/Au :** 1754  
**Examiner :** Hendrickson, Stuart L.  
**Title :** METHOD AND CATALYST FOR PRODUCING  
SINGLE-WALLED CARBON NANOTUBES  
**Docket No. :** 7356.005  
**Customer No. :** 30589

**Mail Stop Amendment**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**TERMINAL DISCLAIMER UNDER 37 C.F.R. § 1.321(b)**

Sir:

I, Christopher W. Corbett, Ph.D., having a mailing address of Dunlap, Coddington & Rogers, P.C., P.O. Box 16370, Oklahoma City, OK 73113, in the County of Oklahoma and the State of Oklahoma, represent that I am agent of record for Petitioner/Assignee, The Board of Regents of The University of Oklahoma, Norman, Oklahoma 73019, and has the authority to sign this document on behalf of Petitioner/Assignee.

08/30/2007 ATRINH 00000045 10720247

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The Board of Regents of the University of Oklahoma owns one hundred percent (100%) of the right, title and interest in and to (1) U.S. Patent No. 6,962,892, issued on November 8, 2005, assignment recorded on December 3, 1999, Reel/Frame 10413/730-739.

The Board of Regents of the University of Oklahoma owns one hundred percent (100%) of the right, title and interest in and to the above-identified patent application, U.S. Serial No. 10/754,247, filed November 24, 2003, assignment recorded on March 12, 2004, on Reel/Frame 15095/0695.

The assignment documents relating to U.S. Patent No. 6,962,892 and the above-identified application, U.S. Serial No. 10/754,247, have been reviewed and certified by Petitioner/Assignee and, to the best of Petitioner/Assignee's knowledge and belief, title is in the Petitioner/Assignee seeking to take this action.

Your petitioner hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the above-identified application, which would extend beyond the expiration date of the full statutory term defined in 35 U. S. C. §§ 154-156 and 173, and of the term as presently shortened by any terminal disclaimer of U.S. Patent No. 6,962,892 as presently shortened by any terminal disclaimers filed prior to the grants of any patents granted on pending applications.

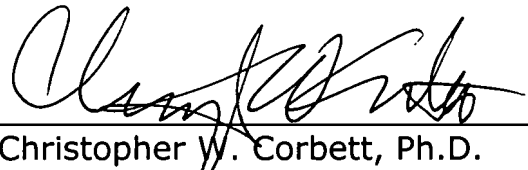
Petitioner further agrees that any patent so granted on the above-identified application shall be enforceable only for and during such period that the legal title to said patent shall be the same as the legal title to U. S. Patent No. 6,962,892.

This agreement is to run with any patent granted on the above-identified application and is to be binding upon the grantee, its successors or assigns.

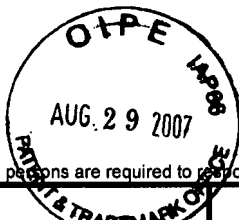
In making the above disclaimer, petitioner does not disclaim any terminal part of any patent granted on the above-identified application prior to the expiration date of the earlier of the term defined in 35 U.S.C. §§ 154-156 and 173, and of the term as presently shortened by any terminal disclaimer of said U.S. Patent No. 6,962,892 and of the terms of any patents granted on applications, as shortened by any terminal disclaimers filed prior to the patent grants, in the event that said U.S. Patent No. 6,962,892 later: (1) expire for failure to pay a maintenance fee; (2) are held unenforceable or are found invalid by a court of competent jurisdiction; (3) are statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. § 1.321; (4) have all claims canceled by a reexamination certificate; (5) are reissued; or (6) are otherwise not deemed to provide the rights conveyed by 35 U.S.C. §§ 154-156 and 173

prior to the expiration of the full statutory term(s) as presently shortened by any terminal disclaimer(s), except for the separation of legal title stated above.

8/28/07  
(Date)

By:   
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**[X] Terminal disclaimer fee under 37 CFR 1.20(d) included.**



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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (P.L. 109-105).

# FEE TRANSMITTAL

## For FY 2007

☒ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**65.00****Complete if Known**

Application Number	10/720,247
Filing Date	11/24/2003
First Named Inventor	Daniel E. Resasco, et al.
Examiner Name	Stuart L. Hendrickson
Art Unit	1754
Attorney Docket No.	7356.005

**METHOD OF PAYMENT (check all that apply)**☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☐ Deposit Account Deposit Account Number: \_\_\_\_\_ Deposit Account Name: \_\_\_\_\_

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES****Fee Description**Each claim over 20 (including Reissues)  
Each independent claim over 3 (including Reissues)  
Multiple dependent claims

Fee (\$)	Small Entity Fee (\$)
50	25
200	100
360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP =	0	x	= 0

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 3 or HP =	0	x	= 0

HP = highest number of independent claims paid for, if greater than 3.

Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	0	/ 50 = 1 (round up to a whole number)	x	= 0

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Terminal Disclosure filing fee

Fees Paid (\$)

65.00

**SUBMITTED BY**

Signature		Registration No. (Attorney/Agent)	36,109	Telephone	405-607-8600
Name (Print/Type)	Christopher W. Corbett			Date	August 29, 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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